

12/07/98



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December 4, 1998

Assistant Commissioner For Patents
United States Patent and Trademark Office
Box Patent Application - FEE
Washington, D.C. 20231

Dear Sir:

**United States Patent Application For: METHOD FOR SIMULTANEOUS
EXTRACTION OF ESSENTIAL OILS AND ANTIOXIDANTS FROM LABIATAE
SPECIES AND THE EXTRACT PRODUCTS THEREOF**
Inventor: John A. Greaves, Friedhelm Brinkhaus, James E. Haworth
Our File No. 4532670/69748

Enclosed please find the above-identified patent application together with the necessary documents for filing with the United States Patent and Trademark Office:

- ☐ Specification with attached Declaration
- ☒ Specification without attached Declaration

- ☐ Formal Drawings
- ☒ Informal Drawings

- ☐ Prior Art Statement under 37 C.F.R. §1.97

- ☐ Preliminary Amendment

- ☐ An Assignment of the invention in favor of the following organization is enclosed for recordation:

Any notice that is to be furnished to the above organization after grant of the patent should be addressed to the firm of undersigned; any notice for

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any other reason should be addressed to the organization with the notation,
"Attention: Office of the President."

Payment enclosed herewith includes an \$40 assignment recordation fee.

[] Priority is hereby claimed based upon the following applications, a copy
of each being attached hereto:

[X] The total amount due for the filing fee in this case is:

Basic Filing Fee \$790 (\$395 small entity)	\$395
Independent Claims above 3, \$82 each (\$41)	_____
Total Claims in Excess of 20, \$22 each (\$11)	\$66
Multiple Dependence Penalty, \$250 (\$125)	_____
Assignment \$40	_____

TOTAL DUE..... \$461

[X] Where a 50% fee reduction is indicated in the calculation in the preceding
paragraph, documentation making this claim under 37 C.F.R. §1.9(f) is attached.
Verified statement of small business concern

[X] Our payment is included in the amount of the TOTAL DUE in the following
manner:

[X] Our check in the full amount is included.

[X] The Commissioner is hereby authorized to charge any additional fees which may
be required, or credit any overpayment to Account No. 12-2250. A duplicate
copy of this sheet is enclosed.

[X] Deposit Account Authorization: In the event no specific fee has been authorized
above or if a check is detached or misplaced, the Commissioner is authorized to
charge the entire Basic Filing Fee to our deposit account No. 12-2250, and unless
it is indicated that the "additional fees" are being deferred under 35 U.S.C. §41(a),
the said Commissioner is authorized to charge the TOTAL DUE to said deposit
account. Any adjustment in the TOTAL DUE should be made to our deposit
account No. 12-2250.

- [X] General Authorization: This paper constitutes a general authorization to the Commissioner for all fee requirements subsequent to the instant filing to charge all fees for amendments, petitions, and any and all other papers, to our deposit account No. 12-2250. This is not, however, an automatic authorization to mail a Notice of Allowance with a charge of the Issue Fee.

Respectfully submitted,

By: Kent A. Herink

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37 CFR 1.10

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I hereby certify that this correspondence is being
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December 7, 1998

By: Heather Rudolf
Heather Rudolf

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)

Applicant, Patentee, or Identifier: Kemin Industries, Inc.
Application or Patent No.: _____
Filed or Issued: _____
Title: Simultaneous Extraction of Antioxidants from Rosemary and the Antioxidant Extracts Thereof

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Kemin Industries, Inc.

ADDRESS OF SMALL BUSINESS CONCERN 2100 Maury Street, Des Moines, Iowa 50301

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- Each person, concern, or organization having any rights in the invention is listed below:
☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

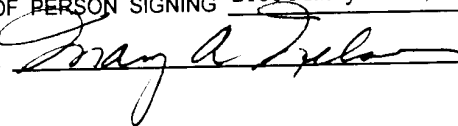
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Mary A. Nelson

TITLE OF PERSON IF OTHER THAN OWNER Vice President

ADDRESS OF PERSON SIGNING 2100 Maury Street, Des Moines, Iowa 50301

SIGNATURE



DATE 11-5-98